SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Instruction 1(b).	continue. See	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934		hours per response: 0.5				
			or Section 30(h) of the Investment Company Act of 1940						
	ess of Reporting Per	son*	2. Issuer Name and Ticker or Trading Symbol Eargo, Inc. [EAR]		onship of F all applicab	Reporting Person(s) to Issuer ble)			
<u>Hughes Dou</u>	<u>gids J.</u>			X	Director		10% Owner		
(Last) C/O EARGO, II	(First) NC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/27/2021		Officer (giv below)	<i>v</i> e title	Other (specify below)		
1600 TECHNO	LOGY DRIVE, (6TH FLOOR	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individ Line)	dual or Join	t/Group Filing (Check Applicable		
(Street) SAN JOSE CA 95110				X		by One Reporting Person by More than One Reporting			
(City)	(State)	(Zip)			Person		-		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	04/27/2021		S ⁽¹⁾		1,500	D	\$55	35,709	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transaction was made pursuant to the terms of a 10b5-1 plan in effect at the time of sale of the shares.

Remarks:

/s/ Christy La Pierre,

Attorney-in-Fact for Douglas 04/28/2021

J. Hughes

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See