FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

<b>STATEMENT</b>	OF CHANGES	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL									
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								` '			. ,										
Name and Address of Reporting Person*     Bisgaard Peter					2. Issuer Name <b>and</b> Ticker or Trading Symbol Eargo, Inc. [ EAR ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner								
(first) (fields)				-									1	Officer (g	give title						
	(Last) (First) (Middle) C/O EARGO, INC.					3. Date of Earliest Transaction (Month/Day/Year) 10/20/2020									belowy			belowy			
1600 TE	CHNOLOG	GY DRIVE, 6TH	I FLOOR																		
(Street)	Street)				_   4	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)						
SAN JOS	SE C	CA	95110											X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(;	State)	(Zip)		-										l l						
				lon-D	erivat	tive :	Secu	rities Ad	cauire	d. D	isposed o	of, or Be	nefici	allv	Owned						
1. Title of S	1. Title of Security (Instr. 3)  2. Transac Date (Month/Da			nsaction	ion 2A. Deemed Execution Date,		3. 4. Securities		es Acquired (A) or Of (D) (Instr. 3, 4 and 5			5. Amount of Securities Beneficially Owned Follov	6. Owners Form: Dir (D) or Ind ving (I) (Instr. 4		t Indirect Bene						
			Code V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4)							(In:		r. 4)									
Common	Stock			10/2	20/202	.0			С		41,969	A	(1)(2)	(3)	41,969	41,969 D					
Common	Stock			10/2	20/202	.0			С		2,664,50	2 A	(1)(2)	(3) 2,664,502 I		I		See footnote <sup>(4)</sup>			
Common	Stock	Stock		10/2	20/2020				P		222,222	. A	\$18	В	2,886,724				See footnotes <sup>(5)(6)</sup>		
			Table I								posed of				Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	ed 4. Tran Code		nsaction le (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisabl Expiration Date (Month/Day/Year)		cisable and	sable and 7. Title and Amou		nt of 8. Price of ying Derivative		9. Numbe derivative Securities Beneficia Owned Following Reported	e (C s F Ally (C G (C	LO. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	) (D)	Date Exercis	sable	Expiration Date	Title	Amour Numbe Shares	er of		Transacti (Instr. 4)	ion(s)				
Series C Preferred Stock	(1)	10/20/2020			С		11,086 (1) (1) Common Stock 12,402 \$0.00		0		D										
Series C Preferred Stock	(1)	10/20/2020			С			1,330,361	(1)	)	(1)	Common Stock	1,488	,369	\$0.00	0		I	See Footnote <sup>(4)</sup>		
Series D Preferred Stock	(2)	10/20/2020			С			3,738	(2)	)	(2)	Common Stock	4,6	60	\$0.00	0		D			
Series D Preferred Stock	(2)	10/20/2020			С			261,701	(2)	)	(2)	Common Stock	326,	275	\$0.00	0		I	See Footnote <sup>(4)</sup>		
Series E Preferred Stock	(3)	10/20/2020			С			24,907	(3)	)	(3)	Common Stock	24,9	907	\$0.00	0		D			
Series E Preferred Stock	(3)	10/20/2020			С			849,858	(3)	)	(3)	Common Stock	849,	858	\$0.00	0	T	I	See Footnote <sup>(4)</sup>		

## **Explanation of Responses:**

- 1. The Series C Preferred Stock automatically converted into shares of Common Stock on a 1:1.118772093 basis immediately prior to the completion of the Issuer's initial public offering of Common Stock and had no expiration date
- 2. The Series D Preferred Stock automatically converted into shares of Common Stock on a 1:1.24674888 basis immediately prior to the completion of the Issuer's initial public offering of Common Stock and had no expiration date
- 3. The Series E Preferred Stock automatically converted into shares of Common Stock on a 1:1 basis immediately prior to the completion of the Issuer's initial public offering of Common Stock and had no expiration date.
- 4. These shares are held directly by Pivotal Alpha Limited ("Pivotal"). Investment and voting decisions by Pivotal are made jointly by the executive committee of Nan Fung Group Holdings Limited (the "NFGHL Executive Committee"), which indirectly owns Pivotal. Kam Chung Leung, Frank Kai Shui Seto, Vincent Sai Sing Cheung, Pui Kuen Cheung, Kin Ho Kwok, Vanessa Tih Lin Cheung, Meng Gao and Chun Wai Nelson Tang are each on the NFGHL Executive Committee. Mr. Bisgaard is a Managing Partner of Pivotal Bioventure Partners LLC, which is affiliated with Pivotal, and disclaims beneficial ownership of all applicable shares except to the extent of his actual pecuniary interest in such shares.
- 5. Includes 2,664,502 shares held directly by Pivotal Alpha Limited ("Pivotal") and 222,222 shares held directly by Permwell Management Limited ("Permwell"). Investment and voting decisions by Pivotal are made jointly by the executive committee of Nan Fung Group Holdings Limited (the "NFGHL Executive Committee"), which indirectly owns Pivotal and Permwell. Investment decisions by Permwell are generally made by Nan Fung Trinity (HK) Limited, which is indirectly owned by Nan Fung Group Holdings Limited. Voting decisions by Permwell are made by the NFGHL Executive Committee.
- 6. (Continued from footnote 5) Kam Chung Leung, Frank Kai Shui Seto, Vincent Sai Sing Cheung, Pui Kuen Cheung, Kin Ho Kwok, Vanessa Tih Lin Cheung, Meng Gao and Chun Wai Nelson Tang are each on the NFGHL Executive Committee. Mr. Bisgaard is a Managing Partner of Pivotal Bioventure Partners LLC, which is affiliated with Pivotal and Permwell, and disclaims beneficial ownership of all applicable shares except to the extent of his actual pecuniary interest in such shares.

## Remarks:

/s/ Christy La Pierre, Attorneyin-Fact for Peter Bisgaard

10/22/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.