SEC Form 3

## UNITED STATES SECURITIES AND EXCHANGE

**COMMISSION** Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Future Fund Board of</u> <u>Guardians</u>	Requiring (Month/D	2. Date of Event Requiring Statement (Month/Day/Year) 10/15/2020 3. Issuer Name <b>and</b> Ticker or Trading Symbol Eargo, Inc. [EAR]							
(Last) (First) (Middle) LEVEL 42,	_		4. Relationship of Report Issuer (Check all applicable) Director	X 10%	Owner	File 6. Ir	5. If Amendment, Date of Original Filed (Month/Day/Year) 5. Individual or Joint/Group Filing		
120 COLLINS STREET (Street) MELBOURNE C3 VIC 300	 D		Officer (give title below)	belov	(specify /)	(Che	Person	by One Reporting	
(City) (State) (Zip)									
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security (Instr. 4)				5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr	
	Date Exercisable	Expiration Date	Title	Amount o Number o Shares	Deriva	tive	or Indirect (I) (Instr. 5)	Ownership (Instr. 5)	
Series D convertible preferred stock	(1)	(1)	Common Stock	3,262,76	4 (1	)	Ι	See Footnote <sup>(3)</sup>	
Series E convertible preferred stock	(2)	(2)	Common Stock	427,717	(2	)	Ι	See Footnote <sup>(3)</sup>	

**Explanation of Responses:** 

1. Each share of Series D convertible preferred stock is convertible at any time at the option of the holder and will convert automatically into 1.246748888 shares of common stock upon consummation of the Issuer's initial public offering ("IPO").

2. Each share of Series E convertible preferred stock is convertible at any time at the option of the holder and will convert automatically into shares of common stock on a 1:1 basis upon consummation of the IPO.

3. These securities are held of record by The Northern Trust Company in its capacity as custodian for Future Fund Investment Company No. 4 Pty Ltd. Future Fund Investment Company No. 4 Pty Ltd which is a wholly owned subsidiary of Future Fund Board of Guardians and, accordingly, Future Fund Board of Guardians may be deemed to share beneficial ownership of the securities.

<u>Kylie Young, by</u> <u>Authorized Signatory for</u> <u>Future Fund Board of</u> <u>Guardians</u> \*\* Signature of Reporting Person

10/15/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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